

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14727

In, Re Application Of: **Martin Frederick Pera**

JUL 30 2004

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/885,679	June 20, 2001	Joseph T. Voitach	23389	1632	6362

Title: **METHODS OF CULTURING EMBRYONIC STEM CELLS AND CONTROLLED DIFFERENTIATION**

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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09/885,679	June 20, 2001	Joseph T. Voitach	23389	1632	6362

**METHODS OF CULTURING EMBRYONIC STEM CELLS AND CONTROLLED  
DIFFERENTIATION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date) \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

**\*This certificate may only be used if paying by deposit account.**

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **July 28, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence \_\_\_\_\_

Edward W. Grolz

Typed or Printed Name of Person Mailing Certificate \_\_\_\_\_

Dated: **July 28, 2004**

Edward W. Grolz  
Registration No. 33,705

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Martin Frederick Pera

**Examiner:** Joseph T. Voitach

**Serial No.:** 09/885,679

**Art Unit:** 1632

**Filed:** June 20, 2001

**Docket:** 14727

**For:** METHODS OF CULTURING EMBRYONIC  
STEM CELLS AND CONTROLLED  
DIFFERENTIATION

**Dated:** July 28, 2004

**Confirmation No.:** 6362

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

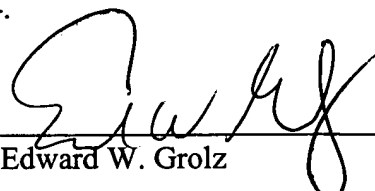
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the reference,  
listed on the attached Form PTO-1449, be made of record in the above-identified case.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 28, 2004.

Dated: July 28, 2004

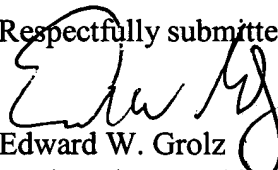
  
Edward W. Grolz

The reference was cited in a Supplementary Partial Search Report dated April 29, 2004 received from the European Patent Office. Applicant is submitting a copy of the cited reference, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Further, since certification pursuant to 37 C.F.R. §1.97(c) and (e) the information presented in this Information Disclosure Statement was first cited in a communication from the European Patent Office in connection with a corresponding European application not more than three months prior to the filing of this statement is enclosed herewith, no fee under 37 C.F.R. §1.197(c) is required.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present application as defined under 37 C.F.R. §1.56.

Respectfully submitted,



Edward W. Grolz  
Registration No. 33,705

Scully, Scott, Murphy & Presser  
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Garden City, New York 11530  
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EWG/XZ:ab

Form PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No.: 14727

Serial No.:  
09/885,679**LIST OF PRIOR ART  
CITED BY APPLICANT**

(Use several sheets if necessary)

Applicant: Martin Frederick Pera

Filing Date: June 20, 2001

Group: 1632

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AP	Pera, Martin F., et al., "Human embryonic stem cells", <u>Journal of Cell Science</u> , 113: 5-10, (2000).
	AR	
	AS	
	AT	
	AU	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Martin Frederick Pera

**Examiner:** Joseph T. Woitach

**Serial No.:** 09/885,679

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT PURSUANT  
TO 37 C.F.R. § 1.97(c)(1) and (e)(1)**

Sir:


I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from the European Patent Office in connection with the subject applications counterpart European application not more than three months prior to the filing of this statement.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on July 28, 2004.

Dated: July 28, 2004

  
Edward W. Grolz

Accordingly, it is respectfully requested that the accompanying Information Disclosure Statement be considered with respect to the above-identified application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Edward W. Grolz', written in a cursive style.

Edward W. Grolz  
Registration No. 33,705

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